



Receipt

PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher KEITH

Filed : March 8, 2001

Group Art Unit:

Examiner :

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

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Date: May 3, 2001

Brenda Pomerance
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PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

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REQUEST FOR CORRECTION OF FILING RECEIPT

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Dear Sir:

The Filing Receipt for the above-referenced application is incorrect because it lacks an attorney docket number. Please add the following attorney docket number:

0505-4002

as shown in red ink on the attached copy of the Filing Receipt.

Please issue a corrected Filing Receipt incorporating the requested correction.

Please call the undersigned attorney if there are any questions.

Respectfully submitted,

Date: May 3, 2001

Brenda Pomerance

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/801,848 | 03/08/2001 | 2165 | 1147 | 0505-4002 | 91 | 108 | 2 |

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CONFIRMATION NO. 1156

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OC000000005998965

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher Keith, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/546,031, 04/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/19/2001

Projected Publication Date: 10/11/2001

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Platform for market programs and trading programs

Preliminary Class

705



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Bib Data Sheet

CONFIRMATION NO. 1156

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|--|---|--------------------------------|---|---|
| SERIAL NUMBER 09/801,848 | FILING DATE 03/08/2001 RULE | CLASS 705 | GROUP ART UNIT 2165 | ATTORNEY DOCKET NO. 0505-4002 |
| APPLICANTS Christopher Keith, New York, NY; | | | | |
| ** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000 | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 04/19/2001 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | STATE OR COUNTRY NY | SHEETS DRAWING 91 | TOTAL CLAIMS 108 |
| Verified and Acknowledged Examiner's Signature _____ Initials _____ | | INDEPENDENT CLAIMS 2 | | |
| ADDRESS 24259 | | | | |
| TITLE Platform for market programs and trading programs | | | | |
| FILING FEE RECEIVED 1147 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |